





Multiphoton Interactions in Nonlinear Optical Waveguides

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- Planar and Cylindrical Waveguides
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- SPM as Intrapulse Four-Wave Mixing
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Introduction

• Nonlinear optical effects have been studied since 1962 and have found applications in many branches of optics.



 Nonlinear interaction length is limited in bulk materials because of tight focusing and diffraction of optical beams:

$$L_{\text{diff}} = k w_0^2, \qquad (k = 2\pi/\lambda).$$

- Much longer interaction lengths become feasible in optical waveguides, which confine light through total internal reflection.
- Optical fibers allow interaction lengths > 1 km.









Advantage of Waveguides

• Efficiency of a nonlinear process in bulk media is governed by

$$(I_0 L_{\text{int}})_{\text{bulk}} = \left(\frac{P_0}{\pi w_0^2}\right) \frac{\pi w_0^2}{\lambda} = \frac{P_0}{\lambda}.$$

- In a waveguide, spot size w_0 remains constant across its length L.
- In this situation $L_{\rm int}$ is limited by the waveguide loss α .
- Using $I(z) = I_0 e^{-\alpha z}$, we obtain

$$(I_0 L_{\rm int})_{\rm wg} = \int_0^L I_0 e^{-\alpha z} dz \approx \frac{P_0}{\pi w_0^2 \alpha}.$$

• Nonlinear efficiency in a waveguide can be improved by

$$\frac{(I_0 L_{\rm int})_{\rm wg}}{(I_0 L_{\rm int})_{\rm bulk}} = \frac{\lambda}{\pi w_0^2 \alpha} \sim 10^6.$$









Planar and Cylindrical Waveguides





- Optical waveguides employ total internal reflection to confine light.
- The refractive index is larger inside a central region.
- Two main classes: Planar and cylindrical waveguides.
- In the planar case, waveguides use materials such as silicon, silicon nitride, and chalcogenide glass.
- In the cylindrical case, optical fibers are made of silica glass and used extensively for telecommunications.







Major Nonlinear Effects

- Self-Phase Modulation (SPM)
- Cross-Phase Modulation (XPM)
- Four-Wave Mixing (FWM)
- Stimulated Brillouin Scattering (SBS)
- Stimulated Raman Scattering (SRS)

Origin of Nonlinear Effects

- Third-order nonlinear susceptibility $\chi^{(3)}$ dominates when $\chi^{(2)} = 0$.
- Its real part leads to four-photon processes (SPM, XPM, and FWM).
- Its imaginary is responsible for two-photon absorption (TPA).









Third-order Nonlinear Susceptibility

- The tensorial nature of $\chi^{(3)}$ makes theory quite complicated.
- It can be simplified considerably when a single optical beam excites the fundamental mode of an optical waveguide.
- Only the component $\chi^{(3)}_{1111}(-\omega;\omega,-\omega,\omega)$ is relevant in this case.
- Its real and imaginary parts provide the Kerr coefficient n_2 and the TPA coefficient β_T as

$$n_2(\boldsymbol{\omega}) + \frac{ic}{2\boldsymbol{\omega}} \beta_T(\boldsymbol{\omega}) = \frac{3}{4\varepsilon_0 c n_0^2} \chi_{1111}^{(3)}(-\boldsymbol{\omega}; \boldsymbol{\omega}, -\boldsymbol{\omega}, \boldsymbol{\omega}).$$

A review paper on silicon waveguides provides more details:
 Q. Lin, O. Painter, G. P. Agrawal, Opt. Express 15, 16604 (2007).







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Nonlinear Parameters

• Refractive index depends on intensity as (Kerr effect):

$$n(\boldsymbol{\omega}, I) = \bar{n}(\boldsymbol{\omega}) + n_2(1+ir)I(t).$$

- Material parameter $n_2 = 3 \times 10^{-18} \text{ m}^2/\text{W}$ is larger for silicon by a factor of 100 compared with silica fibers.
- Dimensionless parameter $r = \beta_T/(2k_0n_2)$ is related to two-photon absorption (TPA).
- For silicon $\beta_T = 5 \times 10^{-12}$ m/W at wavelengths near 1550 nm.
- Dimensionless parameter $r \approx 0.1$ for silicon near 1550 nm.
- Negligible TPA occurs in silica glasses ($r \approx 0$).
- TPA is not negligible for chalcogenide glasses ($r \approx 0.2$).









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Pulse Propagation in Waveguides

• It is governed by the Nonlinear Schrödinger Equation

$$\frac{\partial A}{\partial z} + \frac{i\beta_2}{2}\frac{\partial^2 A}{\partial t^2} = i\gamma|A|^2A - \frac{\alpha}{2}A - \frac{\beta_T}{2}|A|^2A$$

- Dispersive effects within the waveguide included through β_2 .
- Nonlinear effects are included through $\gamma = 2\pi n_2/(\lambda a_{\rm eff})$.
- If we ignore the dispersive effects, solution is $A = \sqrt{P}e^{i\phi}$ with

$$P(z,t) = \frac{P_0(t)e^{-\alpha z}}{1+b_T P_0(t)}, \qquad \phi(z,t) = \ln[1+b_T P_0(t)]\frac{\gamma_0}{b_T} z_{\text{eff}}.$$

- Here $z_{\rm eff} = (1 e^{-\alpha z})/\alpha$ is a reduced length because of single-photon losses.
- Two-photon effects are governed by $b_T = \beta_T z_{\rm eff} / A_{\rm eff}$.





Self-Phase Modulation

- Optical pulse modifies its own phase as it travels inside a waveguide.
- Self-phase modulation (SPM) depends on the shape of input pulses.
- Since $\phi(z,t)$ varies with time, it leads to chirping of input pulses (frequency varying in time).
- Chirping manifests as spectral broadening in the frequency domain.
- Spectral broadening depends on the shape and the peak power of input pulses.
- The extent of broadening is reduced by two-photon absorption in waveguides made of materials with large β_T values.
- Although not immediately obvious, SPM is a four-photon nonlinear process since spectral broadening requires creation of new photons of different frequencies.



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SPM-Induced Spectral Broadening



- Gaussian input pulses: $P_0(t) = P_0 \exp[-(t/T_0)^2]$ with $\gamma P_0 L = 50$.
- Dashed green curves show the spectrum of input pulses.
- Left: A silica fiber with negligible TPA ($\beta_T = 0$).
- Right: A silicon waveguide with appreciable TPA ($b_T P_0 = 2$).



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SPM as a Four-Photon Process

- Convert the NLS equation to frequency domain by Fourier transforming it.
- The Fourier transform $B(z, \omega)$ of A(z, t) satisfies

$$\frac{\partial B}{\partial z} + \frac{1}{2} (\alpha - i\beta_2 \omega^2) B = i(\gamma + i\beta_T/2) \\ \times \iint_{-\infty}^{\infty} B^*(\omega - \omega_1 - \omega_2) B(\omega_2) B(\omega_1) d\omega_1 d\omega_2.$$

- Dispersive effects are included in this equation through β_2 .
- This equation shows how the interaction of three photons of different frequencies creates a fourth photon (intrapulse FWM).
- Two 'pump photons' use their energy create two photons of different frequencies such that both energy and momentum are conserved.





Four-Wave Mixing (FWM)



- FWM is a nonlinear process involving four photons.
- FWM requires conservation of energy and momentum:

$$\omega_1 + \omega_2 = \omega_3 + \omega_4, \qquad \beta_1 + \beta_2 = \beta_3 + \beta_4.$$

• Degenerate FWM: Single pump ($\omega_1 = \omega_2$).



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SPM as **Intrapulse FWM**



- SPM-induced spectral broadening along the length of a silica fiber.
- Gaussian input pulse: $P_0(t) = P_0 \exp[-(t/T_0)^2]$ with $(\gamma P_0)^{-1} = 1$ m.



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Optical Solitons

- Combination of SPM and anomalous dispersion produces solitons.
- Dispersive and nonlinear effects balanced when $N^2 = L_D/L_{\rm NL} = 1$.
- Nonlinear length $L_{\rm NL} = 1/(\gamma P_0)$; Dispersion length $L_D = T_0^2/|\beta_2|$.
- Fundamental solitons (N = 1) preserve their "sech" shape as they propagate if losses (both linear and nonlinear) are negligible.
- Higher-order solitons (N > 1) evolve in a periodic fashion.
- Any perturbation of such solitons though higher-order dispersive and nonlinear effects breaks them into N fundamental solitons (called soliton fission).
- Soliton fission leads to extreme spectral broadening through a combination of several multi-photon processes.



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Higher-Order Solitons











Fission of a Third-Order Soliton











Supercontinuum Formation



Fission of a fourth-order soliton in the presence of third-order dispersion and intrapulse Raman scattering.



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Multiphoton Processes Involved

- Initial spectral broadening through intrapulse FWM (SPM)
- Soliton fission induced by third-order dispersion creates multiple fundamental solitons of different widths and peak powers.
- It also forces solitons to emit radiation at blue-shifted frequencies.
- Spectrum of each soliton shifts by different ammounts toward the red side through intrapulse Raman scattering.
- Each soliton also slows down as its spectrum shifts toward the red.
- FWM and cross-phase modulation among different spectral bands create additional spectral contents.
- The net result is the formation of a supercontinuum for N > 6.







Supercontinuum Generation



• Fission of a N = 8 soliton inside a silica fiber.

- Multiple solitons and dispersive waves produce new frequencies.
- Supercontinuum formed after one dispersion length.



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Concluding Remarks

- Optical waveguides enhance nonlinear effects by confining light to narrow cores and maintaining high intensities over long distances.
- Many multiphoton processes can occur under such conditions at relatively modest power levels.
- The use of short pulses further enhances peak intensities and allows soliton formation in the anomalous dispersion region.
- Self-phase modulation can be viewed as intrapulse FWM.
- Intrapulse Raman scattering transfers energy from blue components of a pulse to the red ones (larger red shifts for shorter solitons).
- New kinds of fibers have been developed for enhancing nonlinear effects (photonic crystal and other microstructured fibers).
- New applications in fields such as biomedical imaging.



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