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# **Nonlinear Photonics with Optical Waveguides**

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- Planar and Cylindrical Waveguides
- Chromatic dispersion and Kerr Nonlinearity
- Self-Phase Modulation
- Cross-Phase Modulation
- Four-Wave Mixing
- Stimulated Raman Scattering
- Stimulated Brillouin Scattering









## Introduction

• Nonlinear optical effects have been studied since 1962 and have found applications in many branches of optics.



 Nonlinear interaction length is limited in bulk materials because of tight focusing and diffraction of optical beams:

$$L_{\text{diff}} = k w_0^2, \qquad (k = 2\pi/\lambda).$$

- Much longer interaction lengths become feasible in optical waveguides, which confine light through total internal reflection.
- Optical fibers allow interaction lengths > 1 km.









# **Advantage of Waveguides**

• Efficiency of a nonlinear process in bulk media is governed by

$$(I_0 L_{\text{int}})_{\text{bulk}} = \left(\frac{P_0}{\pi w_0^2}\right) \frac{\pi w_0^2}{\lambda} = \frac{P_0}{\lambda}.$$

- In a waveguide, spot size  $w_0$  remains constant across its length L.
- In this situation  $L_{\rm int}$  is limited by the waveguide loss  $\alpha$ .
- Using  $I(z) = I_0 e^{-\alpha z}$ , we obtain

$$(I_0 L_{\rm int})_{\rm wg} = \int_0^L I_0 e^{-\alpha z} dz \approx \frac{P_0}{\pi w_0^2 \alpha}.$$

• Nonlinear efficiency in a waveguide can be improved by

$$\frac{(I_0 L_{\rm int})_{\rm wg}}{(I_0 L_{\rm int})_{\rm bulk}} = \frac{\lambda}{\pi w_0^2 \alpha} \sim 10^6.$$







# **Planar and Cylindrical Waveguides**





• Dielectric waveguides employ total internal reflection to confine light to a central region.

- The refractive index is larger inside this central region.
- Two main classes: Planar and cylindrical waveguides.
- In the planar case, a ridge structure used for 2-D confinement.
- Optical fibers dope silica glass with germanium to realize a central core with slightly higher refractive index.







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# **Light Propagation in Waveguides**

- Optical pulses launched into optical waveguides are affected by (i) optical losses, (ii) dispersion, and (iii) Kerr nonlinearity.
- Losses are negligible in optical fibers (< 0.5 dB/km) and manageable (< 1 dB/cm) in planar waveguides.
- Dispersion can be normal or anomalous but its value can be tailored through waveguide design.
- The combination of dispersion and nonlinearity leads to a variety of nonlinear phenomena with useful applications.
- Optical fibers used often in practice because their low losses allow long interaction lengths.
- Planar waveguides made using silicon, silicon nitride, or chalcogenide glasses are attracting attention in recent years.





#### **Chromatic Dispersion**

• Frequency dependence of the propagation constant included using

$$\beta(\boldsymbol{\omega}) = \bar{n}(\boldsymbol{\omega})\boldsymbol{\omega}/c = \beta_0 + \beta_1(\boldsymbol{\omega} - \boldsymbol{\omega}_0) + \beta_2(\boldsymbol{\omega} - \boldsymbol{\omega}_0)^2 + \cdots,$$

where  $\omega_0$  is the carrier frequency of optical pulse.

- Group velocity is related to  $\beta_1 = (d\beta/d\omega)_{\omega=\omega_0}$  as  $v_g = 1/\beta_1$ .
- Different frequency components of a pulse travel at different speeds and result in pulse broadening governed by  $\beta_2 = (d^2\beta/d\omega^2)_{\omega=\omega_0}$ .





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# Waveguide Dispersion

- Mode index  $\bar{n}(\boldsymbol{\omega}) = n_1(\boldsymbol{\omega}) \delta n_W(\boldsymbol{\omega})$ .
- Material dispersion included through  $n_1(\boldsymbol{\omega})$  of the core.
- Waveguide dispersion results from  $\delta n_W(\omega)$  and depends on the waveguide design and dimensions.
- Total dispersion  $\beta_2 = \beta_{2M} + \beta_{2W}$  can be controlled by changing design of a waveguide.
- $\beta_2$  vanishes at a specific wavelength known as the zero-dispersion wavelength (ZDWL).
- This wavelength separates the *normal*  $(\beta_2 > 0)$  and *anomalous*  $(\beta_2 < 0)$  dispersion regions of a waveguide.
- Some fibers exhibit multiple zero-dispersion wavelengths.







- Self-Phase Modulation (SPM)
- Cross-Phase Modulation (XPM)
- Four-Wave Mixing (FWM)
- Stimulated Brillouin Scattering (SBS)
- Stimulated Raman Scattering (SRS)

#### **Origin of Nonlinear Effects**

- Third-order nonlinear susceptibility  $\chi^{(3)}$ .
- Real part leads to SPM, XPM, and FWM.
- Imaginary part leads to two-photon absorption (TPA).











# Third-order Nonlinear Susceptibility

- The tensorial nature of  $\chi^{(3)}$  makes theory quite complicated.
- It can be simplified considerably when a single optical beam excites the fundamental mode of an optical waveguide.
- Only the component  $\chi^{(3)}_{1111}(-\omega;\omega,-\omega,\omega)$  is relevant in this case.
- Its real and imaginary parts provide the Kerr coefficient  $n_2$  and the TPA coefficient  $\beta_T$  as

$$n_2(\boldsymbol{\omega}) + \frac{ic}{2\boldsymbol{\omega}} \beta_{\text{TPA}}(\boldsymbol{\omega}) = \frac{3}{4\varepsilon_0 c n_0^2} \chi_{1111}^{(3)}(-\boldsymbol{\omega}; \boldsymbol{\omega}, -\boldsymbol{\omega}, \boldsymbol{\omega}).$$

A 2007 review on silicon waveguides provides more details:
 Q. Lin, O. Painter, G. P. Agrawal, Opt. Express 15, 16604 (2007).



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#### **Nonlinear Parameters**

• Refractive index depends on intensity as (Kerr effect):

 $n(\boldsymbol{\omega}, I) = \bar{n}(\boldsymbol{\omega}) + n_2(1 + ir)I(t).$ 

- Material parameter  $n_2 = 3 \times 10^{-18} \text{ m}^2/\text{W}$  is larger for silicon by a factor of 100 compared with silica fibers.
- Dimensionless parameter  $r = \beta_{\text{TPA}}/(2k_0n_2)$  is related to two-photon absorption (TPA).
- For silicon  $\beta_{\text{TPA}} = 5 \times 10^{-12} \text{ m/W}$  at wavelengths near 1550 nm.
- Dimensionless parameter  $r \approx 0.1$  for silicon near 1550 nm.
- Negligible TPA occurs in silica glasses ( $r \approx 0$ ).
- TPA is not negligible for chalcogenide glasses ( $r \approx 0.2$ ).









#### **Self-Phase Modulation**

• In silica fibers, refractive index depends on intensity as

 $n(\boldsymbol{\omega}, I) = \bar{n}(\boldsymbol{\omega}) + n_2 I(t).$ 

- Frequency dependence of  $\bar{n}$  leads to dispersion.
- Using  $\phi = (2\pi/\lambda)nL$ , I dependence of n leads to nonlinear phase shift

 $\phi_{\rm NL}(t) = (2\pi/\lambda)n_2 I(t)L = \gamma P(t)L.$ 

- Clearly, the optical field modifies its own phase (hence, SPM).
- For pulses, phase shift varies with time (leads to chirping).
- As the pulse propagates down the fiber, its spectrum changes because of SPM induced by the Kerr effect.









#### **Nonlinear Phase Shift**

• Pulse propagation governed by the Nonlinear Schrödinger Equation

$$i\frac{\partial A}{\partial z} - \frac{\beta_2}{2}\frac{\partial^2 A}{\partial t^2} + \gamma |A|^2 A = 0.$$

- Dispersive effects within the fiber included through  $\beta_2$ .
- Nonlinear effects included through  $\gamma = 2\pi n_2/(\lambda A_{\rm eff})$ .
- If we ignore dispersive effects, solution can be written as

 $A(L,t) = A(0,t) \exp(i\phi_{NL}), \text{ where } \phi_{NL}(t) = \gamma L |A(0,t)|^2.$ 

- Nonlinear phase shift depends on input pulse shape.
- Maximum Phase shift:  $\phi_{\text{max}} = \gamma P_0 L = L/L_{\text{NL}}$ .
- Nonlinear length:  $L_{\rm NL} = (\gamma P_0)^{-1} \sim 1$  km for  $P_0 \sim 1$  W.









#### **SPM-Induced Chirp**



• Super-Gaussian pulses:  $P(t) = P_0 \exp[-(t/T)^{2m}]$ .

- Gaussian pulses correspond to the choice m = 1.
- Chirp is related to the phase derivative  $d\phi/dt$ .
- SPM creates new frequencies and leads to spectral broadening.



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# **SPM-Induced Spectral Broadening**

- First observed in 1978 by Stolen and Lin.
- 90-ps pulses transmitted through a 100-m-long fiber.
- Spectra are labelled using  $\phi_{\text{max}} = \gamma P_0 L.$
- Number *M* of spectral peaks:  $\phi_{\max} = (M \frac{1}{2})\pi$ .



- Output spectrum depends on shape and chirp of input pulses.
- Even spectral compression can occur for suitably chirped pulses.









# **SPM-Induced Spectral Narrowing**



• Chirped Gaussian pulses with  $A(0,t) = A_0 \exp[-\frac{1}{2}(1+iC)(t/T_0)^2]$ .

• If C < 0 initially, SPM produces spectral narrowing.



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#### SPM: Good or Bad?

- SPM-induced spectral broadening can degrade performance of a lightwave system.
- Modulation instability often enhances system noise.

On the positive side ...

- Modulation instability can be used to produce ultrashort pulses at high repetition rates.
- SPM often used for fast optical switching (NOLM or MZI).
- Formation of standard and dispersion-managed optical solitons.
- Useful for all-optical regeneration of WDM channels.
- Other applications (pulse compression, chirped-pulse amplification, passive mode-locking, etc.)



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Nonlinear Schrödinger Equation

$$i\frac{\partial A}{\partial z} - \frac{\beta_2}{2}\frac{\partial^2 A}{\partial t^2} + \gamma |A|^2 A = 0.$$



• CW solution unstable for anomalous dispersion ( $\beta_2 < 0$ ).

• Useful for producing ultrashort pulse trains at tunable repetition rates [Tai et al., PRL 56, 135 (1986); APL 49, 236 (1986)].



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# Modulation Instability (cont.)

- A CW beam can be converted into a pulse train.
- Two CW beams at slightly different wavelengths can initiate modulation instability and allow tuning of pulse repetition rate.
- Repetition rate is governed by their wavelength difference.
- Repetition rates ~100 GHz realized by 1993 using DFB lasers (Chernikov et al., APL 63, 293, 1993).







# **Optical Solitons**

- Combination of SPM and anomalous GVD produces solitons.
- Solitons preserve their shape in spite of the dispersive and nonlinear effects occurring inside fibers.
- Useful for optical communications systems.



- Dispersive and nonlinear effects balanced when  $L_{\rm NL} = L_D$ .
- Nonlinear length  $L_{\rm NL} = 1/(\gamma P_0)$ ; Dispersion length  $L_D = T_0^2/|\beta_2|$ .
- Two lengths become equal if peak power and width of a pulse satisfy  $P_0T_0^2 = |\beta_2|/\gamma$ .









## **Fundamental and Higher-Order Solitons**

- NLS equation:  $i\frac{\partial A}{\partial z} \frac{\beta_2}{2}\frac{\partial^2 A}{\partial t^2} + \gamma |A|^2 A = 0.$
- Solution depends on a single parameter:  $N^2 = \frac{\gamma P_0 T_0^2}{|B_2|}$ .
- Fundamental (N = 1) solitons preserve shape:

 $A(z,t) = \sqrt{P_0} \operatorname{sech}(t/T_0) \exp(iz/2L_D).$ 

• Higher-order solitons evolve in a periodic fashion.









# **Stability of Optical Solitons**

- Solitons are remarkably stable.
- Fundamental solitons can be excited with any pulse shape.



Gaussian pulse with N = 1. Pulse eventually acquires a 'sech' shape.

- Can be interpreted as temporal modes of a SPM-induced waveguide.
- $\Delta n = n_2 I(t)$  larger near the pulse center.
- Some pulse energy is lost through dispersive waves.







#### **Cross-Phase Modulation**

- Consider two optical fields propagating simultaneously.
- Nonlinear refractive index seen by one wave depends on the intensity of the other wave as

$$\Delta n_{\rm NL} = n_2(|A_1|^2 + b|A_2|^2).$$

• Total nonlinear phase shift:

 $\phi_{\rm NL} = (2\pi L/\lambda)n_2[I_1(t) + bI_2(t)].$ 

- An optical beam modifies not only its own phase but also of other copropagating beams (XPM).
- XPM induces nonlinear coupling among overlapping optical pulses.







#### XPM: Good or Bad?

- XPM leads to interchannel crosstalk in WDM systems.
- It can produce amplitude and timing jitter.

On the other hand  $\ldots$ 

XPM can be used beneficially for

- Nonlinear Pulse Compression
- Passive mode locking
- Ultrafast optical switching
- Demultiplexing of OTDM channels
- Wavelength conversion of WDM channels











# **XPM-Induced Crosstalk**



- A CW probe propagated with 10-Gb/s pump channel.
- Probe phase modulated through XPM.
- Dispersion converts phase modulation into amplitude modulation.
- Probe power after 130 (middle) and 320 km (top) exhibits large fluctuations (Hui et al., JLT, 1999).



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# **XPM-Induced Pulse Compression**



- An intense pump pulse is copropagated with the low-energy pulse requiring compression.
- Pump produces XPM-induced chirp on the weak pulse.
- Fiber dispersion compresses the pulse.



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## **XPM-Induced Mode Locking**



• Different nonlinear phase shifts for the two polarization components: nonlinear polarization rotation.

$$\phi_x - \phi_y = (2\pi L/\lambda)n_2[(I_x + bI_y) - (I_y + bI_x)].$$

- Pulse center and wings develop different polarizations.
- Polarizing isolator clips the wings and shortens the pulse.
- Can produce  ${\sim}100$  fs pulses.





# Four-Wave Mixing (FWM)



- FWM is a nonlinear process that transfers energy from pumps to signal and idler waves.
- FWM requires conservation of (notation:  $E = \operatorname{Re}[Ae^{i(\beta z \omega t)}])$ 
  - $\star$  Energy  $\omega_1 + \omega_2 = \omega_3 + \omega_4$
  - $\star$  Momentum  $eta_1 + eta_2 = eta_3 + eta_4$
- Degenerate FWM: Single pump ( $\omega_1 = \omega_2$ ).



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#### **Theory of Four-Wave Mixing**

• Third-order polarization:  $\mathbf{P}_{NL} = \varepsilon_0 \chi^{(3)}$ : **EEE** (Kerr nonlinearity).

$$\mathbf{E} = \frac{1}{2}\hat{x}\sum_{j=1}^{4}F_j(x,y)A_j(z,t)\exp[i(\beta_j z - \omega_j t)] + \text{c.c.}$$

• The four slowly varying amplitudes satisfy

$$\frac{dA_1}{dz} = \frac{in_2\omega_1}{c} \Big[ \Big( f_{11}|A_1|^2 + 2\sum_{k\neq 1} f_{1k}|A_k|^2 \Big) A_1 + 2f_{1234}A_2^*A_3A_4e^{i\Delta kz} \Big] 
\frac{dA_2}{dz} = \frac{in_2\omega_2}{c} \Big[ \Big( f_{22}|A_2|^2 + 2\sum_{k\neq 2} f_{2k}|A_k|^2 \Big) A_2 + 2f_{2134}A_1^*A_3A_4e^{i\Delta kz} \Big] 
\frac{dA_3}{dz} = \frac{in_2\omega_3}{c} \Big[ \Big( f_{33}|A_3|^2 + 2\sum_{k\neq 3} f_{3k}|A_k|^2 \Big) A_3 + 2f_{3412}A_1A_2A_4^*e^{-i\Delta kz} \Big] 
\frac{dA_4}{dz} = \frac{in_2\omega_4}{c} \Big[ \Big( f_{44}|A_4|^2 + 2\sum_{k\neq 4} f_{4k}|A_k|^2 \Big) A_4 + 2f_{4312}A_1A_2A_3^*e^{-i\Delta kz} \Big]$$



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## **Simplified FWM Theory**

- Full problem quite complicated (4 coupled nonlinear equations)
- Overlap integrals  $f_{ijkl} \approx f_{ij} \approx 1/A_{\rm eff}$  in single-mode fibers.
- Linear phase mismatch:  $\Delta k = \beta(\omega_3) + \beta(\omega_4) \beta(\omega_1) \beta(\omega_2)$ .
- Undepleted-pump approximation simplifies the problem.
- Using  $A_j = B_j \exp[2i\gamma(P_1 + P_2)z]$ , the signal and idler satisfy

$$\frac{dB_3}{dz} = 2i\gamma\sqrt{P_1P_2}B_4^*e^{-i\kappa z}, \qquad \frac{dB_4}{dz} = 2i\gamma\sqrt{P_1P_2}B_3^*e^{-i\kappa z}$$

- Signal power  $P_3$  and Idler power  $P_4$  are much smaller than pump powers  $P_1$  and  $P_2$  ( $P_n = |A_n|^2 = |B_n|^2$ ).
- Total phase mismatch:  $\kappa = \beta_3 + \beta_4 \beta_1 \beta_2 + \gamma(P_1 + P_2)$ .

• Nonlinear parameter:  $\gamma = n_2 \omega_0 / (cA_{\rm eff}) \sim 10 \ {
m W}^{-1} / {
m km}$ .









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### **General Solution**

• Signal and idler fields satisfy coupled linear equations

$$\frac{dB_3}{dz} = 2i\gamma\sqrt{P_1P_2}B_4^*e^{-i\kappa z}, \qquad \frac{dB_4^*}{dz} = -2i\gamma\sqrt{P_1P_2}B_3e^{i\kappa z}.$$

• General solution when both the signal and idler are present at z = 0:

$$B_{3}(z) = \{B_{3}(0)[\cosh(gz) + (i\kappa/2g)\sinh(gz)] \\ + (i\gamma/g)\sqrt{P_{1}P_{2}}B_{4}^{*}(0)\sinh(gz)\}e^{-i\kappa z/2} \\ B_{4}^{*}(z) = \{B_{4}^{*}(0)[\cosh(gz) - (i\kappa/2g)\sinh(gz)] \\ - (i\gamma/g)\sqrt{P_{1}P_{2}}B_{3}(0)\sinh(gz)\}e^{i\kappa z/2}$$

• If an idler is not launched at z = 0 (phase-insensitive amplification):  $B_3(z) = B_3(0) [\cosh(gz) + (i\kappa/2g) \sinh(gz)] e^{-i\kappa z/2}$  $B_4^*(z) = B_3(0) (-i\gamma/g) \sqrt{P_1 P_2} \sinh(gz) e^{i\kappa z/2}$ 





# Gain Spectrum

• Signal amplification factor for a FOPA:

$$G(\boldsymbol{\omega}) = \frac{P_3(L,\boldsymbol{\omega})}{P_3(0,\boldsymbol{\omega})} = \left[1 + \left(1 + \frac{\kappa^2(\boldsymbol{\omega})}{4g^2(\boldsymbol{\omega})}\right) \sinh^2[g(\boldsymbol{\omega})L]\right]$$

- Parametric gain:  $g(\boldsymbol{\omega}) = \sqrt{4\gamma^2 P_1 P_2 \kappa^2(\boldsymbol{\omega})/4}$ .
- Wavelength conversion efficiency:

$$\eta_c(\boldsymbol{\omega}) = \frac{P_4(L,\boldsymbol{\omega})}{P_3(0,\boldsymbol{\omega})} = \left(1 + \frac{\kappa^2(\boldsymbol{\omega})}{4g^2(\boldsymbol{\omega})}\right) \sinh^2[g(\boldsymbol{\omega})L].$$

• Best performance for perfect phase matching ( $\kappa = 0$ ):

$$G(\boldsymbol{\omega}) = \cosh^2[g(\boldsymbol{\omega})L], \qquad \eta_c(\boldsymbol{\omega}) = \sinh^2[g(\boldsymbol{\omega})L].$$







#### FWM: Good or Bad?

- FWM leads to interchannel crosstalk in WDM systems.
- It generates additional noise and degrades system performance.

#### On the other hand ...

FWM can be used beneficially for

- Optical amplification and wavelength conversion
- Phase conjugation and dispersion compensation
- Ultrafast optical switching and signal processing
- Generation of correlated photon pairs









# **Stimulated Raman Scattering**

- Scattering of light from vibrating silica molecules.
- Amorphous nature of silica turns vibrational state into a band.
- Raman gain spectrum extends over 40 THz or so.



- Raman gain is maximum near 13 THz.
- Scattered light red-shifted by 100 nm in the 1.5  $\mu$ m region.







#### Raman Threshold

• Raman threshold is defined as the input pump power at which Stoke power becomes equal to the pump power at the fiber output:

 $P_s(L) = P_p(L) \equiv P_0 \exp(-\alpha_p L).$ 

• Using  $P_{s0}^{\rm eff} = (\hbar \omega_s) B_{\rm eff}$ , the Raman threshold condition becomes

$$P_{s0}^{\rm eff} \exp(g_R P_0 L_{\rm eff} / A_{\rm eff}) = P_0,$$

• Assuming a Lorentzian shape for the Raman-gain spectrum, Raman threshold is reached when (Smith, Appl. Opt. **11**, 2489, 1972)

$$\frac{g_R P_{th} L_{\text{eff}}}{A_{\text{eff}}} \approx 16 \quad \Longrightarrow \quad P_{th} \approx \frac{16 A_{\text{eff}}}{g_R L_{\text{eff}}}.$$









### **Estimates of Raman Threshold**

#### **Telecommunication Fibers**

- For long fibers,  $L_{\rm eff} = [1 \exp(-\alpha L)]/\alpha \approx 1/\alpha \approx 20$  km for  $\alpha = 0.2$  dB/km at 1.55  $\mu$ m.
- For telecom fibers,  $A_{\rm eff} = 50-75~\mu{\rm m}^2$ .
- Threshold power  $P_{th} \sim 1$  W is too large to be of concern.
- Interchannel crosstalk in WDM systems because of Raman gain.

Yb-doped Fiber Lasers and Amplifiers

- Because of gain,  $L_{\text{eff}} = [\exp(gL) 1]/g > L$ .
- For fibers with a large core,  $A_{\rm eff} \sim 1000 \ \mu {
  m m}^2$ .
- $P_{th}$  exceeds 10 kW for short fibers (L < 10 m).
- SRS may limit fiber lasers and amplifiers if  $L \gg 10$  m.



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## SRS: Good or Bad?

- Raman gain introduces interchannel crosstalk in WDM systems.
- Crosstalk can be reduced by lowering channel powers but it limits the number of channels.

On the other hand ...

- Raman amplifiers are a boon for WDM systems.
- Can be used in the entire 1300–1650 nm range.
- EDFA bandwidth limited to  ${\sim}40$  nm near 1550 nm.
- Distributed nature of Raman amplification lowers noise.
- Needed for opening new transmission bands in telecom systems.



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# **Stimulated Brillouin Scattering**

- Originates from scattering of light from acoustic waves.
- Becomes a stimulated process when input power exceeds a threshold level.
- Threshold power relatively low for long fibers ( $\sim$ 5 mW).



• Most of the power reflected backward after SBS threshold is reached.







# **Brillouin Shift**

- Pump produces density variations through electrostriction.
- Resulting index grating generates Stokes wave through Bragg diffraction.
- Energy and momentum conservations require:

$$\Omega_B = \omega_p - \omega_s, \qquad \vec{k}_A = \vec{k}_p - \vec{k}_s.$$

• Acoustic waves satisfy the dispersion relation:

$$\Omega_B = v_A |\vec{k}_A| \approx 2v_A |\vec{k}_p| \sin(\theta/2).$$

• In a single-mode fiber  $heta=180^\circ$ , resulting in

$$v_B = \Omega_B/2\pi = 2n_p v_A/\lambda_p \approx 11$$
 GHz,

if we use  $v_A = 5.96$  km/s,  $n_p = 1.45$ , and  $\lambda_p = 1.55$   $\mu$ m.











## **Brillouin Gain Spectrum**



- Measured spectra for (a) silica-core (b) depressed-cladding, and
   (c) dispersion-shifted fibers.
- Brillouin gain spectrum is quite narrow ( $\sim$ 50 MHz).
- Brillouin shift depends on GeO<sub>2</sub> doping within the core.
- Multiple peaks are due to the excitation of different acoustic modes.
- Each acoustic mode propagates at a different velocity  $v_A$  and thus leads to a different Brillouin shift  $(v_B = 2n_p v_A / \lambda_p)$ .







#### **Brillouin Threshold**

• Pump and Stokes evolve along the fiber as

$$-\frac{dI_s}{dz}=g_BI_pI_s-\alpha I_s,\qquad \frac{dI_p}{dz}=-g_BI_pI_s-\alpha I_p.$$

- Ignoring pump depletion,  $I_p(z) = I_0 \exp(-\alpha z)$ .
- Solution of the Stokes equation:

$$I_s(L) = I_s(0) \exp(g_B I_0 L_{\text{eff}} - \alpha L).$$

• Brillouin threshold is obtained from

$$\frac{g_B P_{th} L_{\text{eff}}}{A_{\text{eff}}} \approx 21 \implies P_{th} \approx \frac{21 A_{\text{eff}}}{g_B L_{\text{eff}}}.$$

• Brillouin gain  $g_B \approx 5 \times 10^{-11}$  m/W is nearly independent of the pump wavelength.









# **Estimates of Brillouin Threshold**

#### **Telecommunication Fibers**

- For long fibers,  $L_{\rm eff} = [1 \exp(-\alpha L)]/\alpha \approx 1/\alpha \approx 20$  km for  $\alpha = 0.2$  dB/km at 1.55  $\mu$ m.
- For telecom fibers,  $A_{\rm eff} = 50-75 \ \mu {\rm m}^2$ .
- Threshold power  $P_{th} \sim 1 \text{ mW}$  is relatively small.

Yb-doped Fiber Lasers and Amplifiers

- $P_{th}$  exceeds 20 W for a 1-m-long standard fibers.
- Further increase occurs for large-core fibers;  $P_{th} \sim 400$  W when  $A_{\rm eff} \sim 1000 \ \mu {
  m m}^2$ .
- SBS is the dominant limiting factor at power levels  $P_0 > 0.5$  kW.









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# **Techniques for Controlling SBS**

- Pump-Phase modulation: Sinusoidal modulation at several frequencies >0.1 GHz or with a pseudorandom bit pattern.
- Cross-phase modulation by launching a pseudorandom pulse train at a different wavelength.
- Temperature gradient along the fiber: Changes in  $v_B = 2n_p v_A / \lambda_p$ through temperature dependence of  $n_p$ .
- Built-in strain along the fiber: Changes in  $v_B$  through  $n_p$ .
- Nonuniform core radius and dopant density: mode index  $n_p$  also depends on fiber design parameters (a and  $\Delta$ ).
- Control of overlap between the optical and acoustic modes.
- Use of Large-core fibers: A wider core reduces SBS threshold by enhancing  $A_{\rm eff}$ .



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# **Concluding Remarks**

- Optical waveguides allow nonlinear interaction over long lengths.
- Optical fibers exhibit a variety of nonlinear effects.
- Fiber nonlinearities are feared by telecom system designers because they affect system performance adversely.
- Nonlinear effects are useful for many applications.
- Examples include: ultrafast switching, wavelength conversion, broadband amplification, pulse generation and compression.
- New kinds of fibers have been developed for enhancing nonlinear effects (photonic crystal and other microstructured fibers).
- Nonlinear effects in such fibers are finding new applications in fields such as optical metrology and biomedical imaging.



# **Further Reading**

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